



TRANSP physics and application to JET plasmas

I. Voitsekhovitch

Acknowledgements:

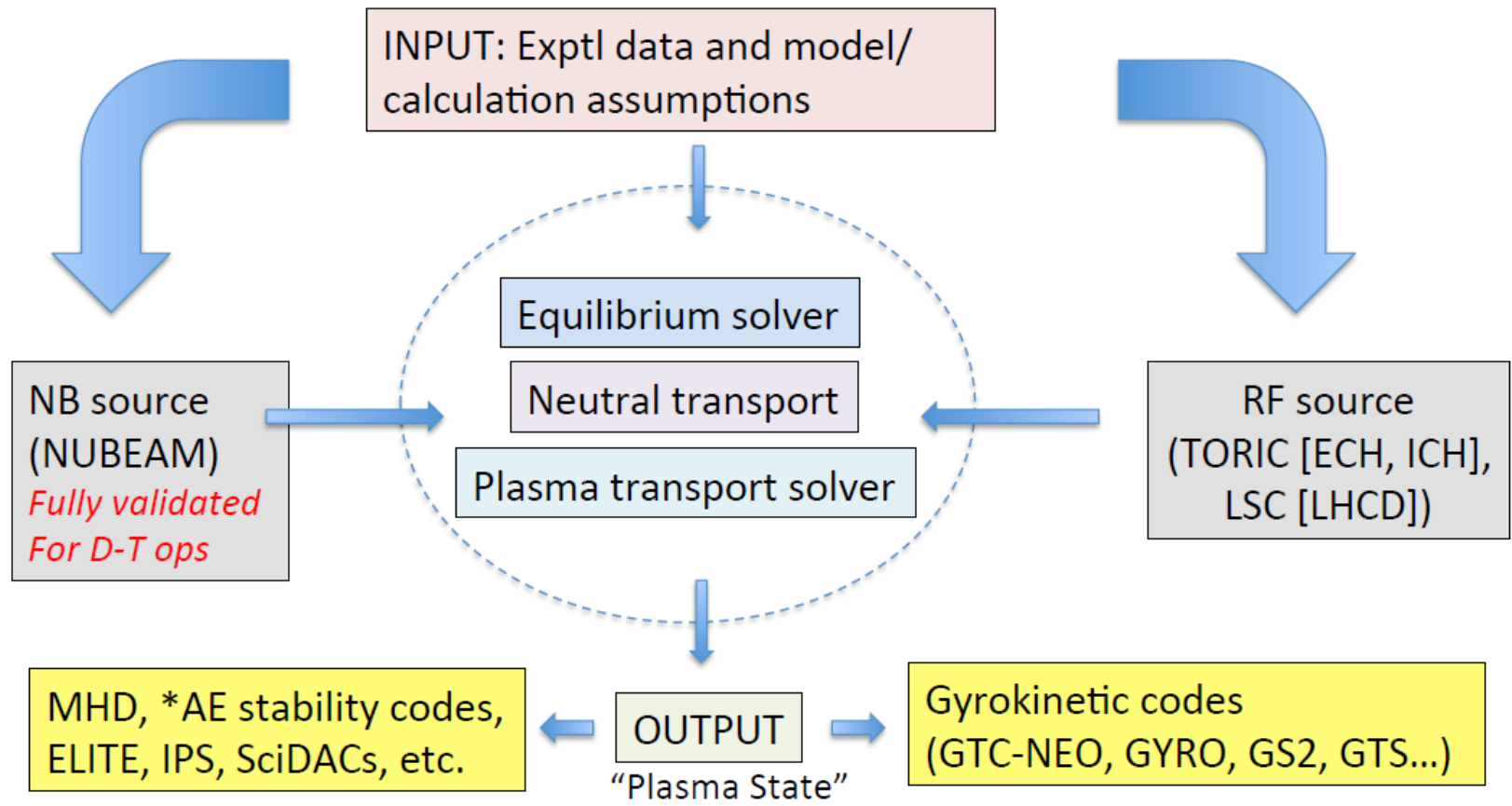
D. McCune, R. Andre, R. Budny, J. Conboy, M. Gorelenkova, S. Kay

TRANSP training session, 24-27 November 2014



This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement number 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission.

TRANSP is a time-dependent, 1 ½ D tool for interpretive and predictive analysis of tokamak, ST and RFP plasmas



Output of TRANSP (Plasma State File) is standardized for simplifying input to other computationally intensive codes



- User guide to select the physics modules and options is available, but no manual describing physics
- Information on TRANSP physics presented here comes from publications and discussions with TRANSP team during last 10 years
- This talk includes physics description when available and the options for physics modules in TRANSP
- Ref. to TRANSP: R. J. Goldston et al., J. Comput. Phys. **43**, 61 (1981). Refs. to TRANSP modules on JET/TRANSP and NTCC pages



- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- Poloidal field diffusion
- Auxiliary heating
- Edge particle source
- MHD: sawtooth model
- Predictive TRANSP



$$\Delta^* \psi = r^2 \operatorname{div} \frac{\nabla \psi}{r^2} = -4\pi^2 \left(\mu_0 r^2 \frac{\partial p}{\partial \psi} + I \frac{\partial I}{\partial \psi} \right).$$

or

$$\begin{aligned} \Delta^* \psi &= \frac{2\pi\mu_0 R_0 J}{\langle B^2/B_0^2 \rangle} j_{\parallel} + \frac{2\pi\mu_0 R_0^2}{B_0 \rho \mu} \left(\frac{J^2}{\langle B^2/B_0^2 \rangle} - \frac{r^2}{R_0^2} \right) \frac{\partial p}{\partial \rho} \\ &= 2\pi\mu_0 R_0 \left[\frac{J}{\langle B^2/B_0^2 \rangle} \left(j_{\parallel} + \frac{R_0 J}{B_0 \rho \mu} \frac{\partial p}{\partial \rho} \right) - \frac{r^2}{B_0 R_0 \rho \mu} \frac{\partial p}{\partial \rho} \right] \end{aligned}$$

- No explicit time dependence: plasma is always in equilibrium, fast relaxation process with respect to transport
- Coupled to time-dependent transport equations in predictive part of code



VMEC = Variational Moments Equilibrium Code (S. Hirshman, ORNL)

- the full 3D MHD equilibrium equations for arbitrary geometry
- truncated to a 2D code suitable for modeling tokamak geometries of arbitrary moment and adapted it to TRANSP:
 1. fixed boundary: the plasma boundary prescribed by a set of Fourier coefficients in R and Z
 2. other input parameters:
 - a) *enclosed toroidal flux*
 - b) *pressure profile*
 - c) *profile $\mu = \partial\psi/\partial\Phi$*
 3. can handle pressure anisotropies IN PRINCIPLE. The version currently in TRANSP, however, is purely isotropic
 4. Φ_{tot} is used as an initial guess in arriving at a solution that conserves I_p , by varying Φ_{tot}

References:

- S.P.Hirshman and J.C.Whitson, PHYS.FLUIDS 26, 3553 (1983).
- S.P.Hirshman and H.K.Meier, PHYS.FLUIDS 28, 1387 (1985).
- S.P.Hirshman and D.K.Lee, COMP.PHYS.COMM. 39, 161 (1986).



- MHD equilibrium code used in the Corsica transport code (LLNL)
- Fixed boundary solution using the pressure and q profiles as input
- The vacuum R^*B_{tor} is used as a boundary condition
- After the initial startup, TEQ is called in a loop which adjusts the q profile near the edge region in order to match to the total plasma current
- Radial grid: uniform or stretched near the axis or the edge



- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- **Poloidal field diffusion**
- Auxiliary heating
- Edge particle source
- MHD: sawtooth model
- Predictive TRANSP



- Prescribed q-profile, $q(\text{time}, r)$
- Evolve the q profile using input data: $B_{\text{pol}}/B_{\text{tor}}$ vs (R, t) or θ vs (R, t) where $\tan(\theta) = B_{\text{pol}}/B_{\text{tor}}$

Poloidal field diffusion equation (PFDE) can be used to estimate the resistivity profile in these two cases, but non-physical negative values of resistivity can come out (it depends on the quality of the q profile data, dq/dt , etc)

- Solve poloidal field diffusion equation

It is possible to switch back and forth amongst these options in the course of a run.

Poloidal field diffusion equation (PFDE)



$$\sigma_{\parallel} \left(\frac{\partial \psi}{\partial t} - \frac{\rho \dot{B}_0}{2B_0} \frac{\partial \psi}{\partial \rho} \right) = \frac{J^2 R_0}{\mu_0 \rho} \frac{\partial}{\partial \rho} \left(\frac{G_2}{J} \frac{\partial \psi}{\partial \rho} \right) - \frac{V'}{2\pi \rho} (j_{BS} + j_{CD})$$

$$G_2 \stackrel{\text{def}}{=} \frac{V'}{4\pi^2} \left\langle \left(\frac{\nabla \rho}{r} \right)^2 \right\rangle \quad J \stackrel{\text{def}}{=} \frac{I}{R_0 B_0} \quad \rho \stackrel{\text{def}}{=} \sqrt{\frac{\Phi}{\pi B_0}} \quad V' = \frac{\partial V}{\partial \rho},$$

$$\begin{aligned} I_{\text{pl}} \stackrel{\text{def}}{=} \int_{S_{\zeta}} \mathbf{j} \cdot d\mathbf{S}_{\zeta} &= \frac{1}{2\pi} \int_V (\mathbf{j} \cdot \nabla \zeta) d^3x = \frac{1}{2\pi R_0} \int_0^{\rho} V' j_{\text{tor}} d\rho = \frac{J}{2\pi R_0} \int_0^{\rho} \frac{V'}{J^2} j_{\parallel} d\rho \\ &= \frac{G_2}{\mu_0} \frac{\partial \psi}{\partial \rho} = \frac{2\pi B_0}{\mu_0} \rho G_2 \mu = \frac{2\pi R_0}{\mu_0} G_2 B_p \end{aligned}$$

$$j_{\text{tor}} = 2\pi R_0 \frac{\partial I_{\text{pl}}}{\partial V}, \quad j_{\parallel} = 2\pi R_0 J^2 \frac{\partial}{\partial V} \left(\frac{I_{\text{pl}}}{J} \right) = J^2 \frac{\partial}{\partial V} \int_0^V \frac{j_{\text{tor}}}{J} dV.$$

$$j_{\parallel} = \sigma_{\parallel} E_{\parallel} + j_{BS} + j_{CD}$$

Initial condition for PFDE



$$\psi(\rho, t)|_{t=0} = \psi_0(\rho) \quad \text{not measured}$$

Measured /reconstructed variables are

$$q \stackrel{\text{def}}{=} \frac{1}{\mu} = \frac{\partial \Phi}{\partial \psi}$$

$$j_{\parallel} \stackrel{\text{def}}{=} \frac{\langle \mathbf{j} \cdot \mathbf{B} \rangle}{B_0} = \frac{2\pi R_0}{\mu_0 V'} J^2 \frac{\partial}{\partial \rho} \left(G_2 J^{-1} \frac{\partial \psi}{\partial \rho} \right)$$

but they involve 1st or 2nd derivative of $\psi(\rho)$ and equilibrium (V' , G_2)



Two types of initial conditions:

$$\mu(\rho, t)|_{t=0} = \frac{\bar{\mu}(\rho)}{\bar{\mu}(\rho_B)} \frac{\mu_0 I_{p1}}{2\pi B_0 \rho_B G_2(\rho_B)}$$

Prescribed $q(r)$

I_{p1} is matched by applying some adjustment procedure

I_{p1} is not matched

$$j_{\parallel}(\rho, t=0) = j_0(\rho)$$

Prescribed $j(r)$. Current density is re-normalised to be consistent with I_{p1}

$j_{\parallel}(\rho)$ is given, $E_{\parallel}(\rho)$ is calculated using resistivity model

$E_{\parallel}(\rho)$ is flat (SS), $j_{\parallel}(\rho)$ is calculated using resistivity model



$$\left. \frac{\partial \psi}{\partial \rho} \right|_{\rho=0} = 0. ??$$

a) *Prescribed total plasma current:*

$$\left. \frac{\partial \psi}{\partial \rho} \right|_{\rho=\rho_B} = \frac{\mu_0}{G_2(\rho_B)} I_{p1}(t)$$

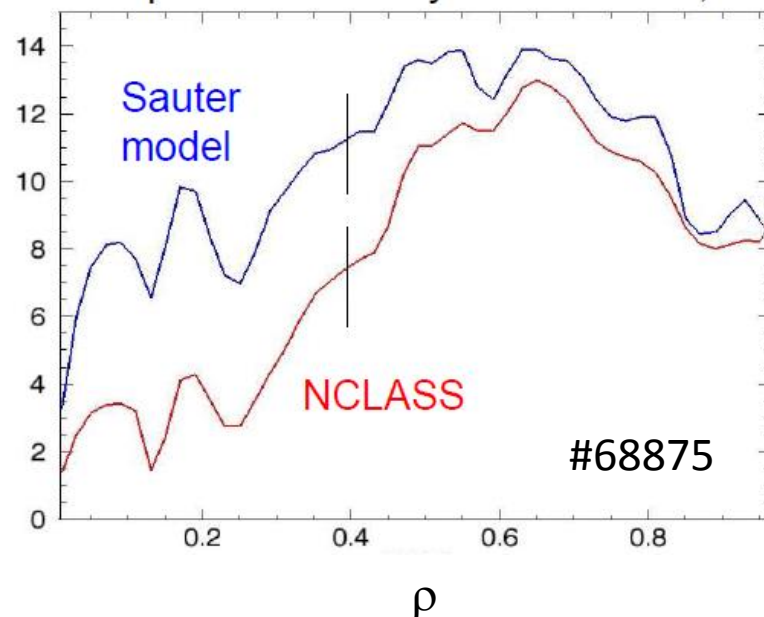
b) *Prescribed loop voltage:* may be inconsistent with total plasma current

Modules for resistivity and bootstrap current



- Spitzer resistivity
- Analytical neoclassical models (S. P. Hirshman, et al Nucl. Fusion 1977; TSC model)
- NCLASS (*full multi-species representation of plasma profiles, valid for arbitrary geometry and collisionality regimes*) [W. A. Houlberg et al, Phys. Plasmas 1997]
- Sauter model (*analytical expressions fitting the code simulations: Fokker–Planck equation with full collision operator, arbitrary equilibrium and collisionality regimes*) [O. Sauter and C. Angioni, PoP 1999]

<Bootstrap current density> at 6.7- 6.9 s, A/cm²



lbs, simulated q , NCLASS	0.36 MA
lbs, simulated q , Sauter model	0.43 MA
lbs, EFIT/ q , NCLASS	0.4 MA
lbs, EFIT/ q , Sauter model	0.5 MA

Current diffusion studies for JET: OH current ramp up



Voitsekhovitch et al, PPCF 2010

- Simulations of current diffusion with measured T_e and line averaged Z_{eff}
- EFIT/Q is initial profile
- First sawtooth crash (i.e. $q_0 > 0.8$) at 6.9 s

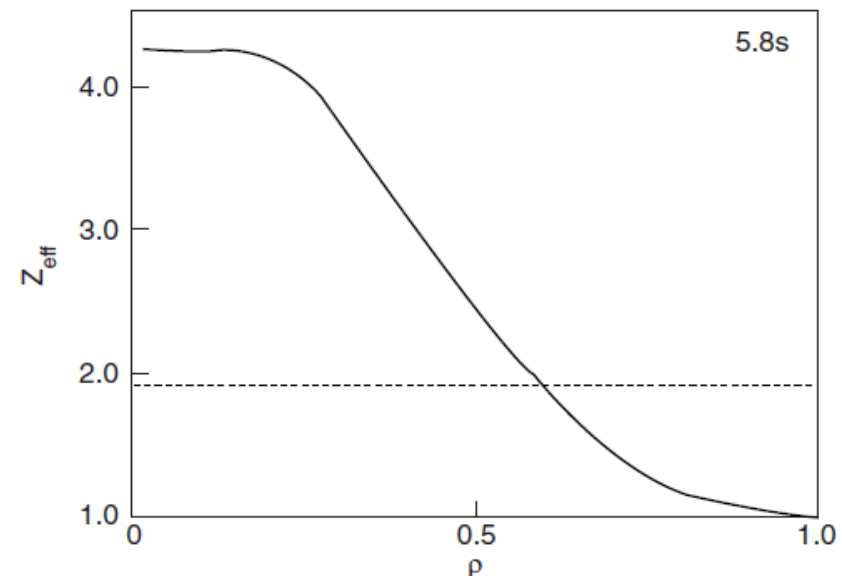
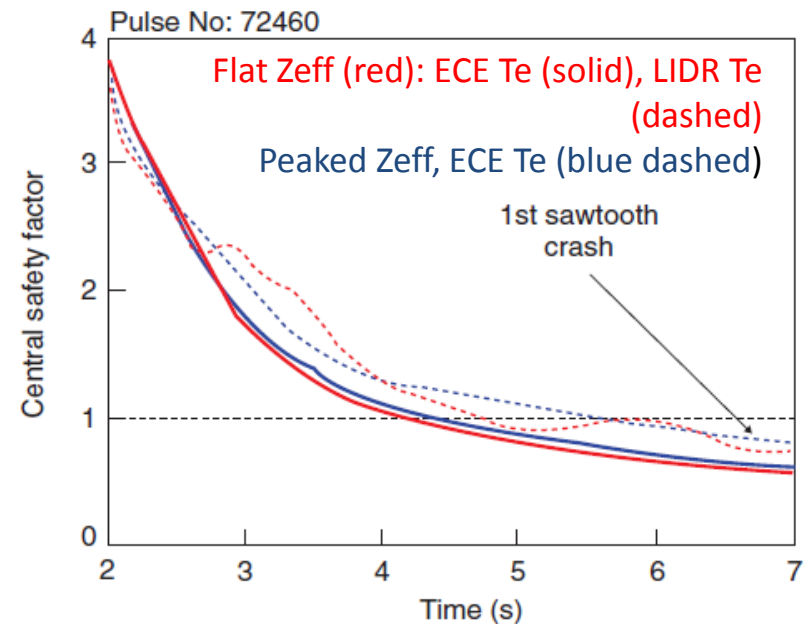
$$\sigma \sim T_e^{3/2} / Z_{eff}$$

Sensitivity to Z_{eff} profile:

- flat Z_{eff} \rightarrow slower current diffusion towards the centre, higher q_0 at the beginning of the ramp up
- peaked Z_{eff} \rightarrow broader stationary j, higher q_0

Sensitivity to T_e profile:

- peaked T_e \rightarrow slower current diffusion towards the centre, higher q_0 at the beginning of the ramp up
- flat T_e \rightarrow broader stationary j, higher q_0

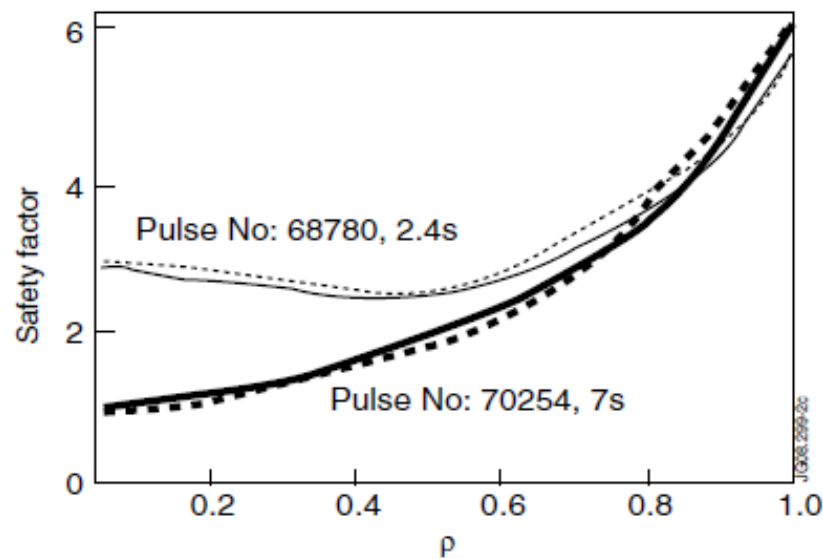
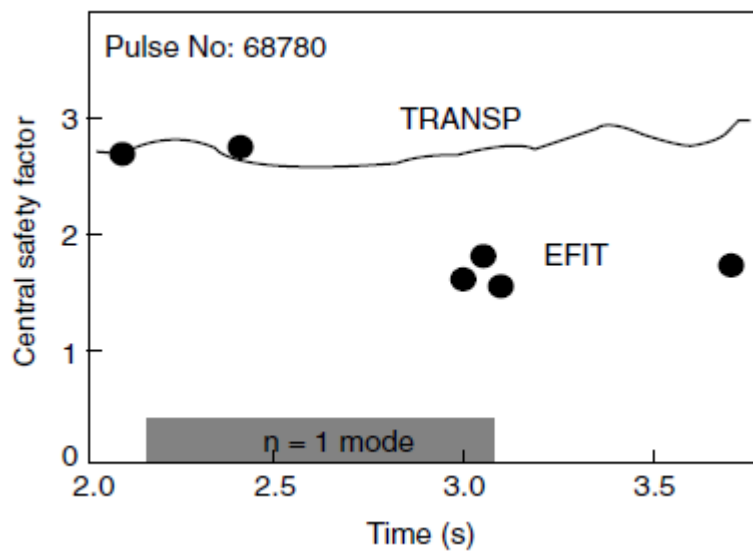
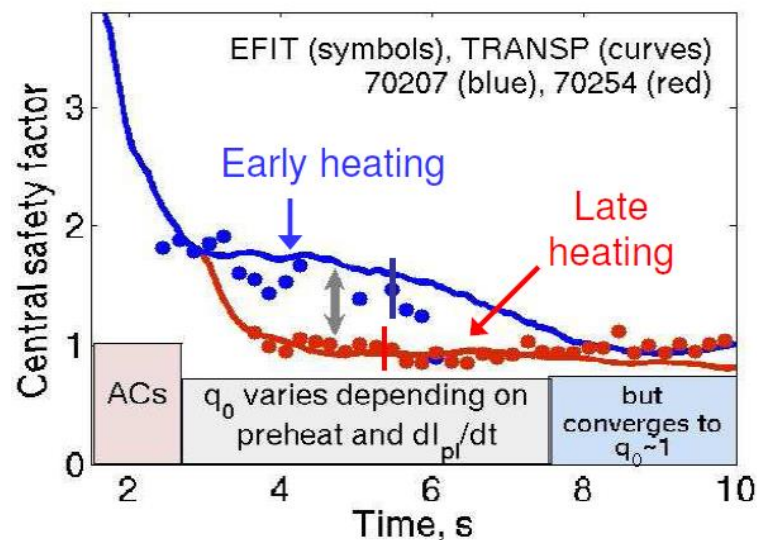


Current diffusion studies for JET: high β_N scenario



- Current diffusion simulations with measured T_e , Z_{eff} . NCLASS is used
- EFIT/Q is initial profile taken 0.5 s before the NBI start
- Good agreement with EFIT for discharges with early and late NBI start
- Over-estimated central q in discharge with strong $n=1$ mode

Voitsekhovitch et al, Nucl. Fusion 2009

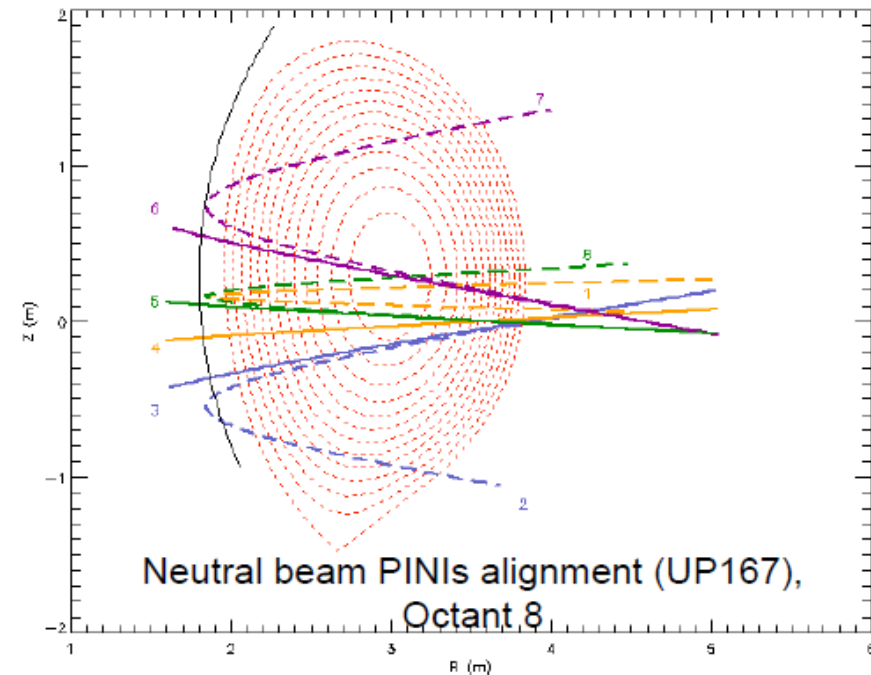
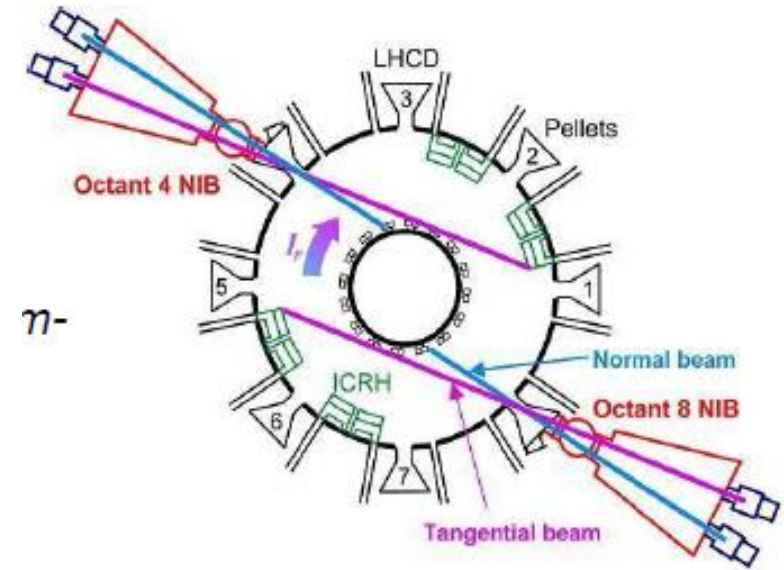




- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- Poloidal field diffusion
- **Auxiliary heating**
- Edge particle source
- MHD: sawtooth model
- Predictive TRANSP



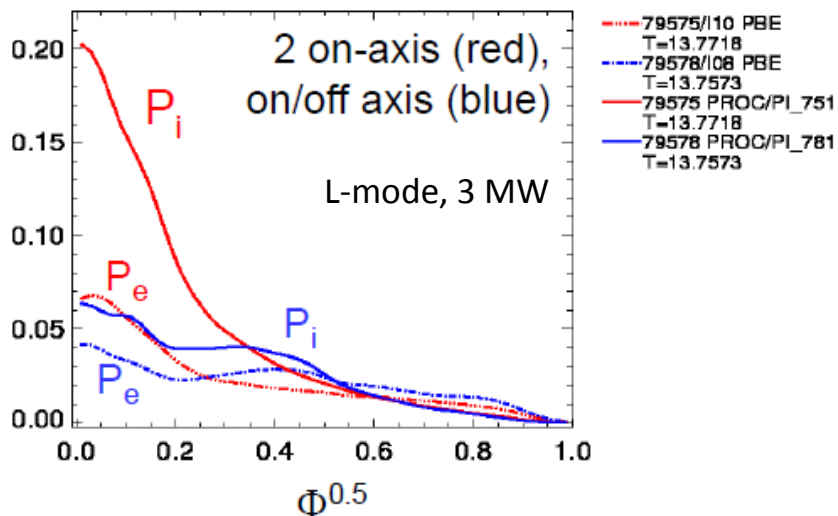
- 2D code, realistic geometry
- Monte-Carlo technique for beam ions and fusion products
- FLR effects included
- Deposition, secondary CX, slowing down
- Orbit losses, collisional and anomalous diffusion
- Sawtooth mixing of fast ions
- Built into JET database
- Frequently used output:
 - *heat, particle and momentum source*
 - *Fast particle distribution function $f(\rho, \text{pol. angle}, E, \text{pitch angle})$*



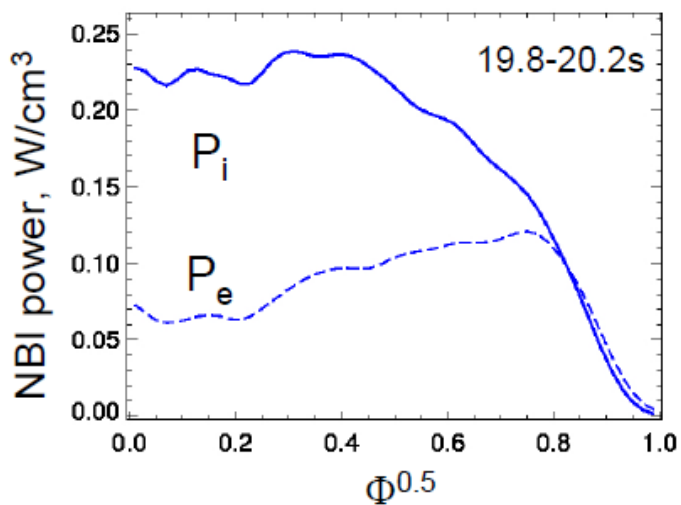
Examples of NUBEAM simulations for JET



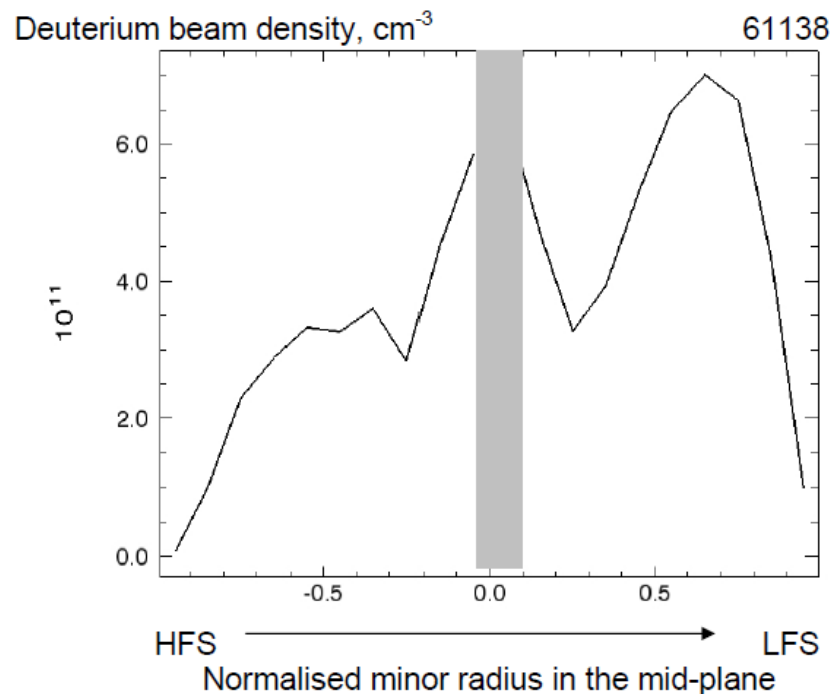
NBI heating profile obtained with different beam configuration and plasma density



H-mode, 19 MW, $n_i=7e19 \text{ m}^{-3}$, $P_i/P_{\text{tot}}=0.58$, $P_e/P_{\text{tot}}=0.35$



Voitsekhovitch et al, Nucl. Fusion 2007



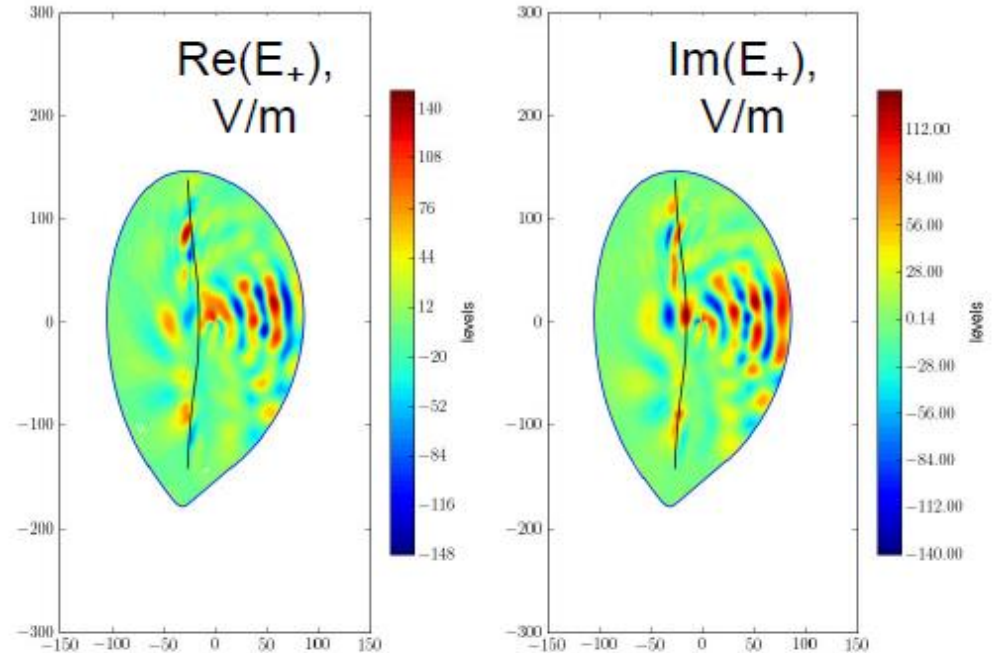
Deuterium beam density profile in high density H-mode plasmas, obtained by integrating the 4D distribution function

Ion Cyclotron Heating: TORIC



- *FLR full wave code*
- *Solves Maxwell's equations in presence of plasma and wave antenna*
- *Retains the 2nd harmonic wave frequency*
- *specify both the damping power density on minority fast ions, and the 2D wave field (E_+ , polarization, k_{\perp} , k_{\parallel})*
- *Combined with FP module
FPPMOD: re-normalises the original QL operator zone by zone while keeping the total power constant*

$$E_+ = \exp(i\tau) \{E_{\psi} + i(\cos\theta E_{\tau} - \sin\theta E_{\varphi})\} / 2^{0.5} \quad \text{J. Conboy}$$



Application for:

- (1) minority heating (H and He3)
- (2) fundamental D heating
- (3) mode conversion

Refs: M. Brambilla, PPCF 41, 1, (1999) & M. Brambilla and T. Krucken, NF 28, 1813 (1988); D. G. Swanson, Phys. Fluids 24, 2035 (1981); P. T. Colestock and R. J. Kashuba, NF 23 763 (1983); J. C. Wright et al, PoP 11, 2473 (2004)



LSC (D.W.Ignat, E.J.Valeo):

- multiple ray tracing in general non-circular axisymmetric plasmas specified by a numerical equilibrium
- solve at each of several flux surfaces a simple one-dimensional (in velocity) Fokker Planck equation for the quasilinear evolution of the electron distribution function
- provide the RF power and RF-driven current electric field

Refs: D. W. Ignat, Phys. Fluids, 1981; E. J. Valeo and D. C. Eder, J. Comp. Physics, 1987. C. F. F. Karney and N. J. Fisch, Phys. Fluids 1986

GENRAY (work in progress):

- ray tracing code
- can be used for modeling electron cyclotron and lower hybrid heating and current drive in tokamaks

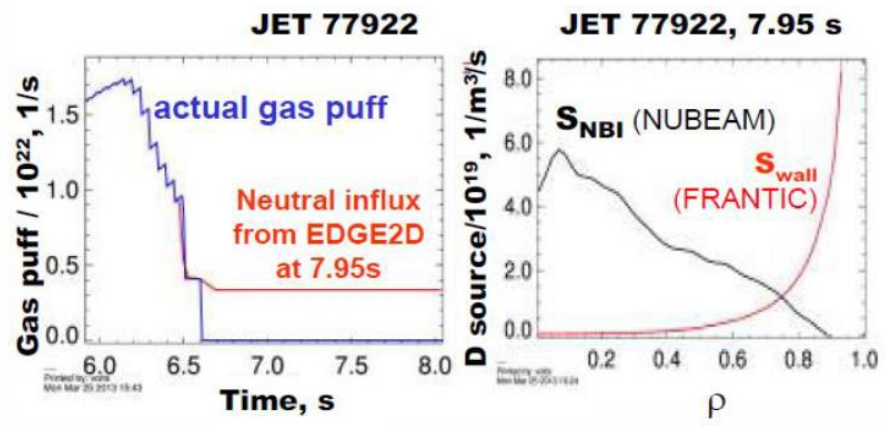
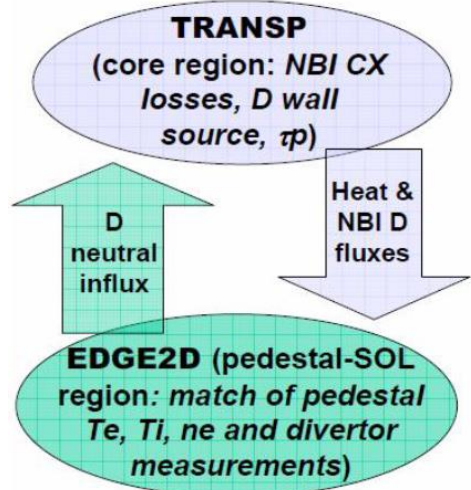


- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- Poloidal field diffusion
- Auxiliary heating
- **Edge particle source**
- MHD: sawtooth model
- Predictive TRANSP



- Fluid 1.5D model, no poloidal variation of the source
- Simplified geometry: nested cylinders of shifted centers given by flux surfaces
- Multiple ion and neutral species
- Charge exchange, impact ionization
- Input: neutral flux and energy of incoming neutrals (multiple energy species)
- For JET simulations: gas puff and re-normalised $D\alpha$ signal, $\Gamma_{neut} = \Gamma_{puff} + const * \Gamma_{\alpha}$
- Wall particle source Γ_{neut} is ad hoc, should not be used for estimation of global particle confinement
- TRANSP-EDGE2D estimation of wall particle source for JET plasmas started under ITM/ISM (collaboration with Paula Belo)

TRANSP-EDGE2D iterations (more detailed description in Voitsekhovitch et al NF 2013)

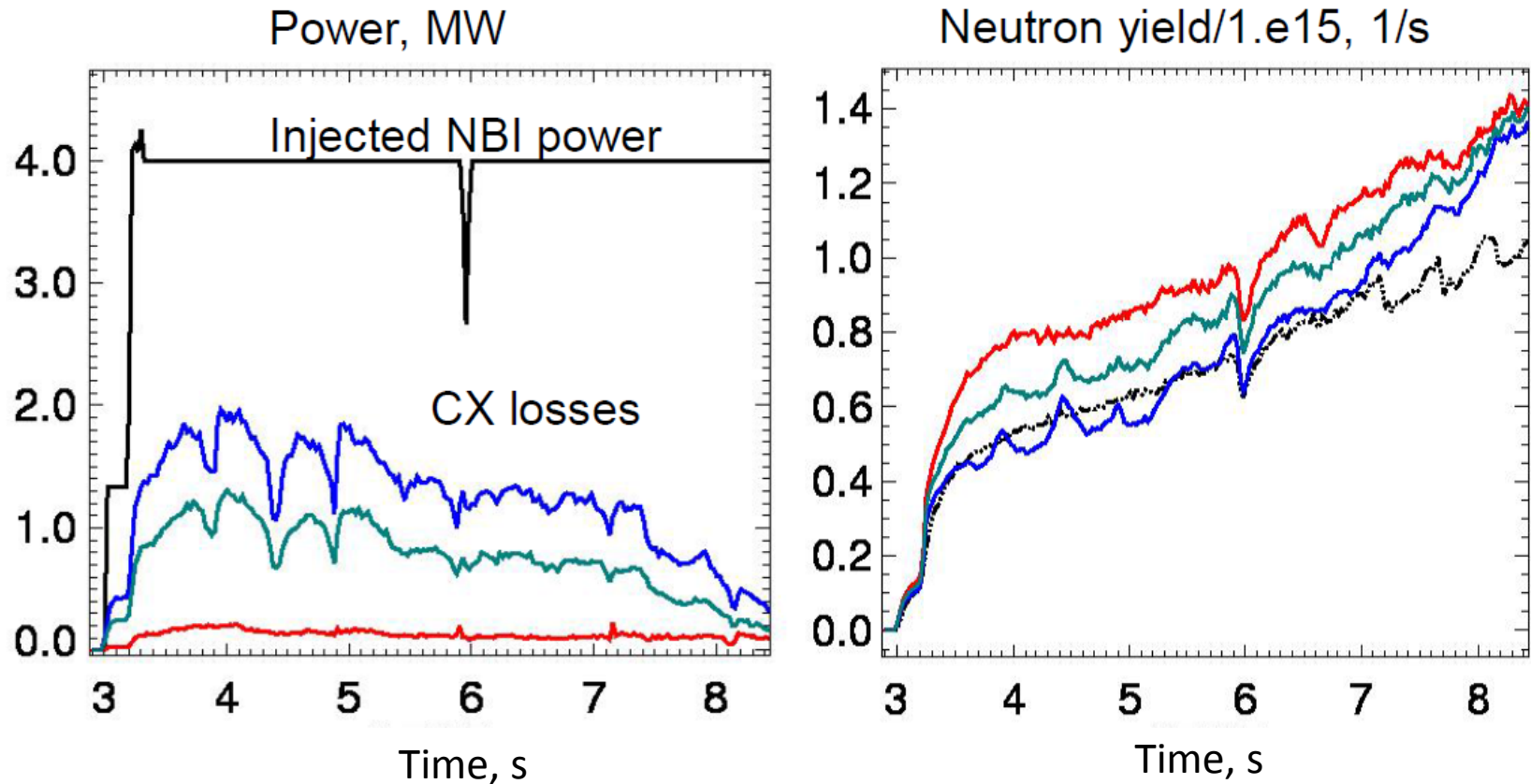


Estimation of particle confinement time and Γ_{α} normalisation [Voitsekhovitch et al EPS 2012:
 79635 (low power HS): $\tau_p=0.4$ s, $\tau_E=0.16$ s, const=17.5
 77922 (high power HS): $\tau_p=0.54$ s, $\tau_E=0.25$ s, const=16.8



Effect of gas puff (CX losses) on neutron yield during current ramp up

$$\Gamma_{\text{puff}} = C \cdot \text{GASM}, \quad C=1, 20, 50$$



- sensitivity to CX losses is tested by varying gas puff
- good agreement with measured neutron yield with $C \sim 40$, but the CX losses are high



- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- Poloidal field diffusion
- Auxiliary heating
- Edge particle source
- **MHD: sawtooth model**
- Predictive TRANSP



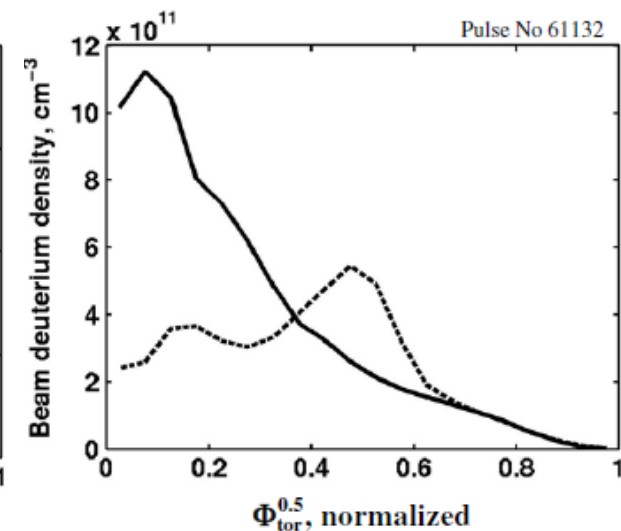
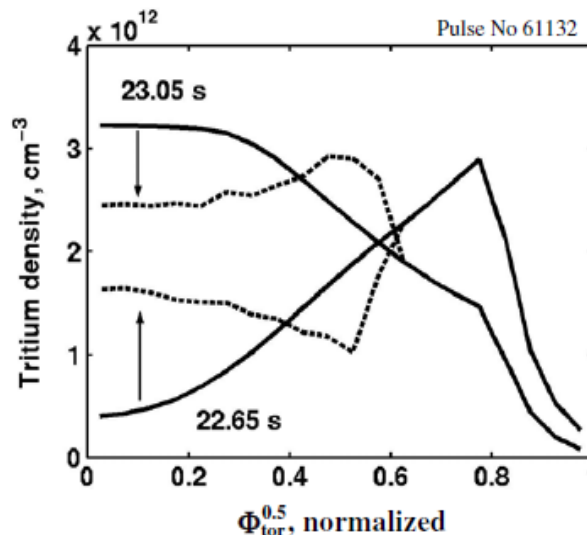
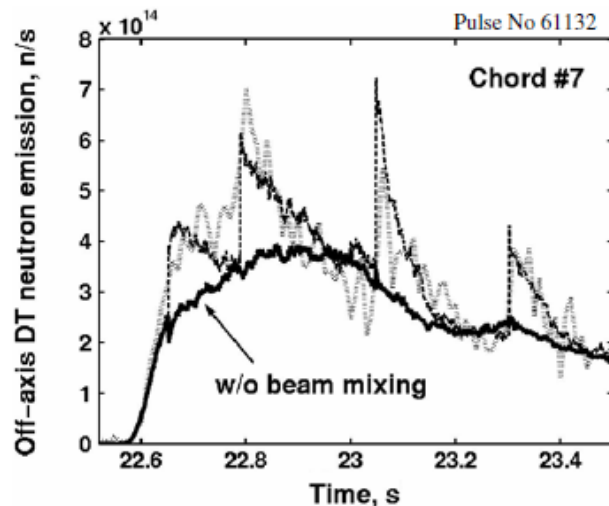
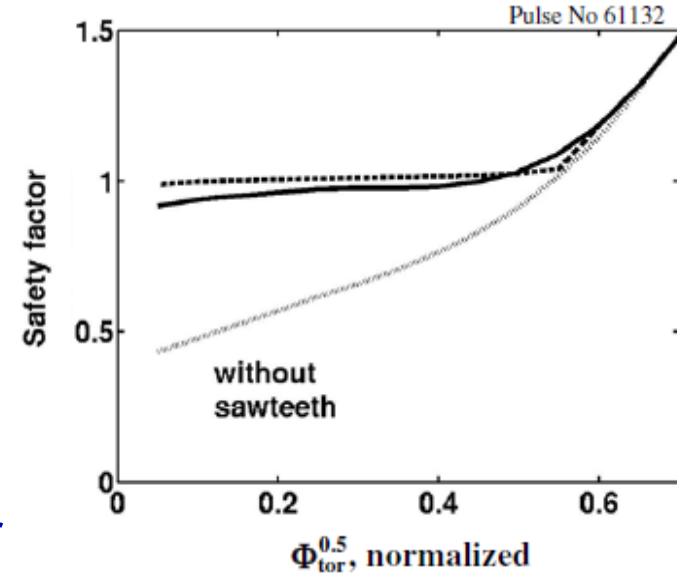
TRANSP sawtooth models:

- crash times taken from data (ECE, soft X-ray)
- radius with $q=1$ is extended to provide the helical flux conservation
- q , T_e , T_i , thermal and fast ion (NBI & RF) densities are mixed following the reconnection of magnetic flux surfaces:

→ *centrally peaked profiles flatten*

→ *off-axis particles go towards the center*

Voitsekhovitch et al, PPCF 2005



Porcelli reconnection model [PPCF, 1996]

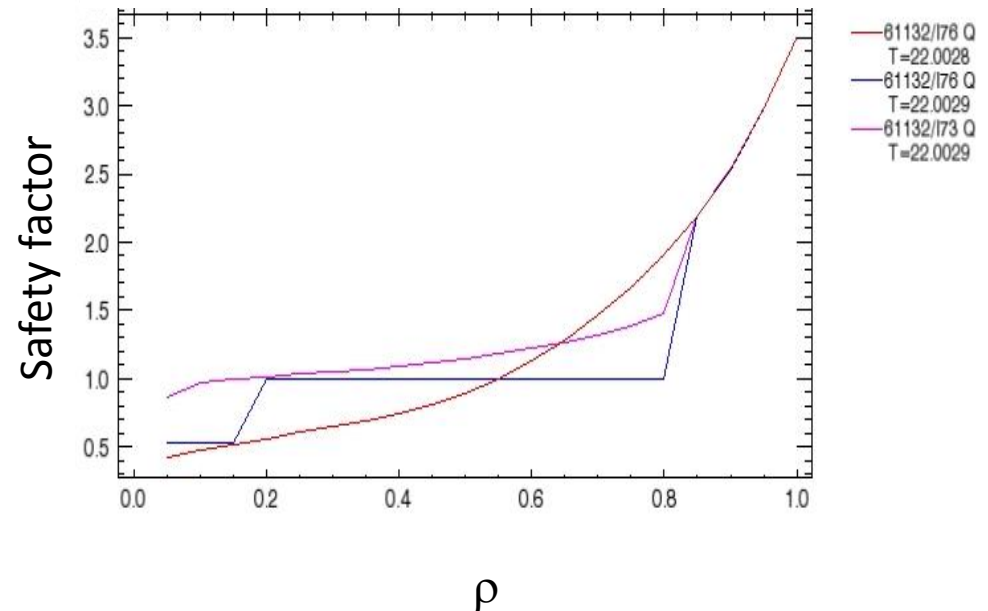


Kadomtsev mixing yields $q \geq 1$ everywhere; Porcelli mixing generally leaves a region with $q < 1$ near the axis

Options in Porcelli model:

- two mixing regions: "island" around $q=1$ and axial region inside the island annulus
- single mixing region for predicted plasma species, covering both the $q=1$ island and the axial region
- island width controlled by user
- fraction of mixed fast ions controlled by user

Evolution of q -profile during the first sawtooth crash in Kadomtsev (red and pink) and Porcelli (red and blue) models (same initial conditions)





- Equilibrium (see also talk by Hyun-Tae Kim)
- Diagnostics simulations and data consistency: will be addressed later on
- Poloidal field diffusion
- Auxiliary heating
- Edge particle source
- MHD: sawtooth model
-
- **Predictive TRANSP**



Predictive TRANSP:

- thermal ion and electron temperature equations**
- transport modules for temperature and density**
- momentum equation**
- modelling of density of trace species**



Particle:
$$\frac{\partial n}{\partial t} = -\frac{1}{r} \frac{\partial}{\partial r} (rnV) + S_{gas} + S_{beam} - L_{c-x, recomb, etc}$$

Energy (e⁻):
$$\frac{\partial nT}{\partial t} = -\frac{1}{r} \frac{\partial}{\partial r} \left(rn\chi \frac{\partial}{\partial r} T \right) - nTV_r + P_{OH} + P_{beam} - P_{ie} - P_{rad}$$

S. Kay, JET-PPPL remote meeting 20/09/2013

- *Whole plasma region or shifted boundary*
- *Source computed with NUBEAM, TORIC, LSC, FRANTIC*
- *Radiative power taken from measurements or computed assuming coronal equilibrium*
- *Transport models: GLF23, Multi-Mode Model, TGLF (not in production version yet)*

Transport modules: Gyro-Landau-Fluid (GLF23)



[R E Waltz et al Phys. Fluids B 1992]

- 3D non-linear GLF code (fluctuating n_i , $P_{i//}$, $P_{i\perp}$, $V_{i//}$, trapped n_e and P_e , passing n_e , $A_{//}$, etc., quasineutrality condition + 3D ballooning GKS code

- mixing length estimation of transport coefficients:

$$\chi \approx 1.5(\gamma_{\text{net}} / k_{xM}^2) \times \gamma_d \gamma / (\gamma^2 + \omega^2)$$

- ITG, TEM and ETG turbulence, 10 modes in k -spectrum

- dependencies: critical gradient, T_i/T_e , L_{Ti} , L_{Te} , L_n , q

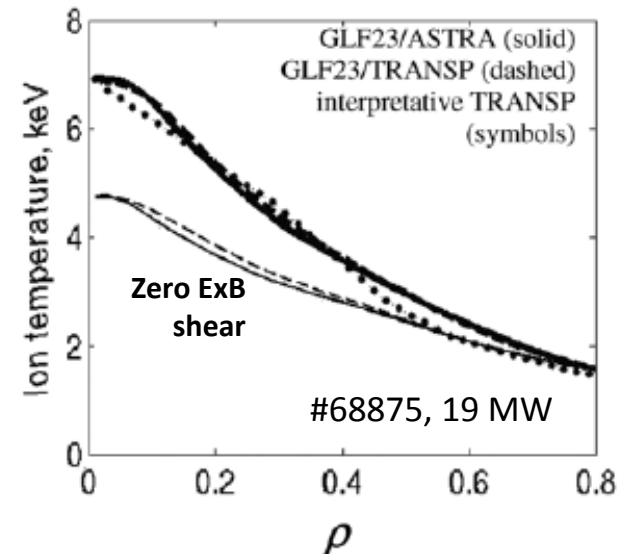
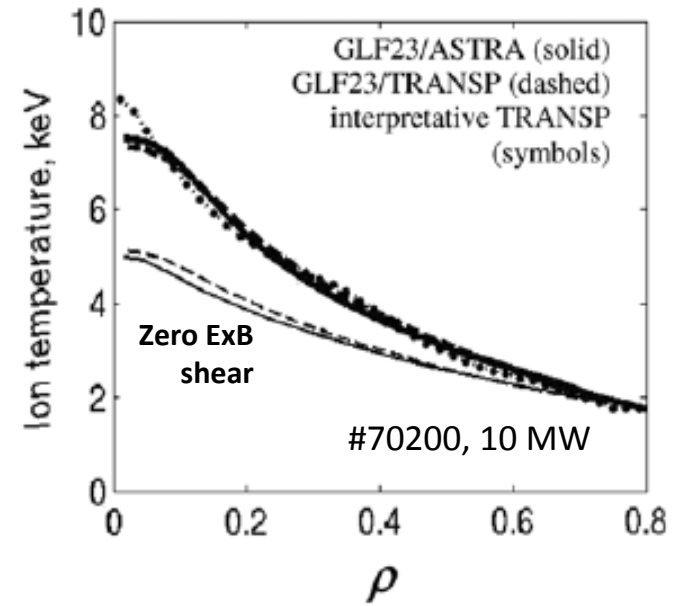
- implementation: GLF23 coefficients computed self-consistently with Braginskii equations for averaged quantities in time dependent simulations

- computes χ_e , χ_i , D_i , χ_ϕ

- available in NTCC module library:

<http://w3.pppl.gov/rib/repositories/NTCC/catalog/>

Voitsekhovitch et al NF 2009



Transport modules: Multi-Mode Model



[G Bateman et al Phys. Plasmas 1998]

$$\chi = \chi(\text{centre}) + \chi(\text{gradient region}) + \chi(\text{edge}) + \chi_{\text{neocl.}}$$

Kinetic Ballooning
Model

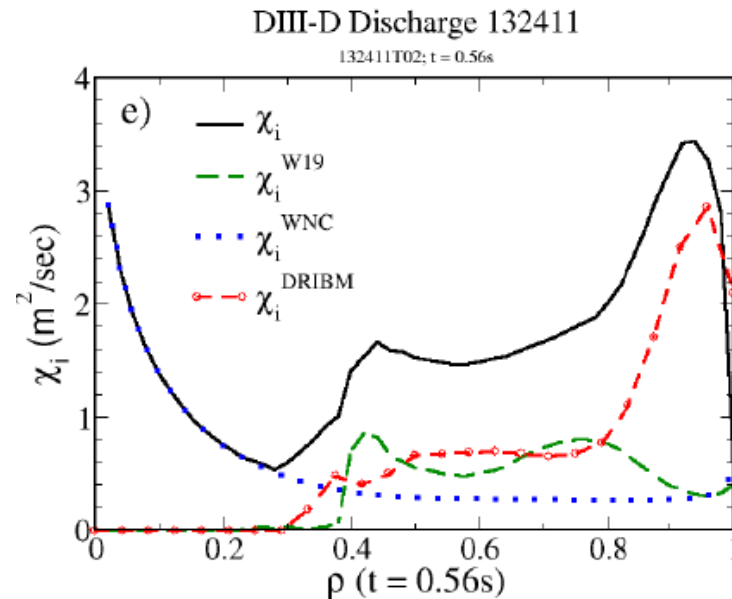
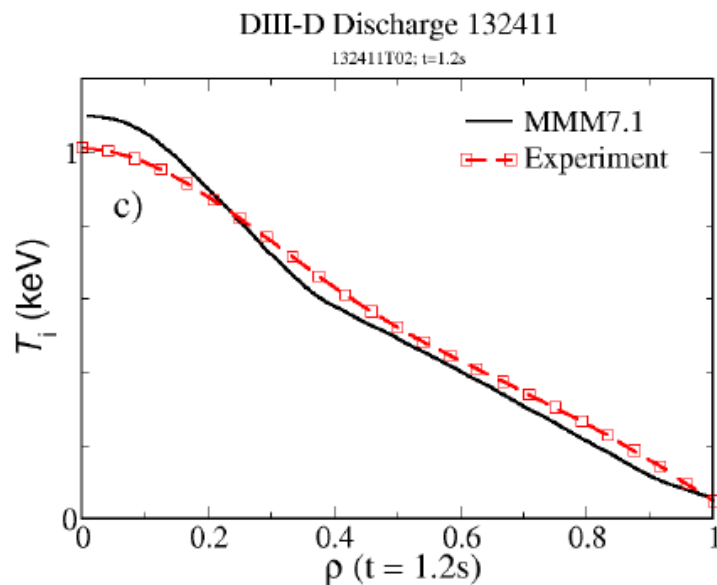
Weiland model
Horton ETG model

RBM, DRIBM
by T. Rafiq
(L-mode)

NCLASS
paleoclassical

- Flexible implementation: various components can be switched on/off
- Detailed output: χ_i , χ_e , $\chi\phi$, D for all models, growth rates for Weiland and DRIBM)

T. Rafiq et al, submitted to PoP





TRANSP variables and equations relevant to momentum transport:

angular velocity:

$$\omega (\sqrt{\Phi}) = V\phi/R \quad (\text{all thermal species are assumed to have the same angular velocity})$$

angular momentum density (in analysis mode inferred from density, Z_{eff} and toroidal rotation measurements):

$$m = \langle R^2 \rangle \omega \sum_j n_j M_j \quad (\text{sum over thermal species, electron momentum is ignored})$$

input torques:

$$S_{\phi_{\text{total}}} = S_{\text{nbi,coll}} (\text{including } S_{\text{nbi,i}} \text{ \& } S_{\text{nbi,e}}) + S_{\text{nbi,therm}} + S_{\text{JxB}} (\text{radial movement of fast ions}) + S_{\text{ripple}} + S_{\text{anom}} (\text{prescribed})$$

CX losses:

$$S_{\text{CX_losses}} = S_1 (\text{beam CX momentum loss (NUBEAM)}) - S_2 (\text{partly recaptured by neutral gas model (FRANTIC)})$$



Momentum balance equation:

$$dm/dt = S_{\phi_{total}} - S_{CX_{losses}} - (1/r)(d/dr)(\chi_{\phi} \nabla m) - \langle R^2 \rangle \omega \sum_j \Gamma_j M_j$$

χ_{ϕ} is the momentum diffusivity calculated in analysis mode

Momentum confinement time :

$$dL/dt = \int (S_{\phi_{total}} - S_{CX_{losses}} - \langle R^2 \rangle \omega \sum_j \Gamma_j M_j) dv - L/\tau_{\phi} \quad L = \int (\langle R^2 \rangle \omega \sum_j n_j M_j) dv$$

-
- * Description of toroidal momentum transport in TRANSP: R. J. Goldston in “*Basic Physical Processes of Toroidal Fusion Plasmas*”, Varenna, 1985
ftp://ftp.pppl.gov:/pub/dmccune/transp/Goldston_Rotation.pdf

Modelling of trace species



$$\frac{dn}{dt} = S - \text{div}(\Gamma)$$

Here S includes beam fuelling, gas flow and recycling

$$\Gamma = V * (- Lf * \text{grad}(n) + n) \quad (1)$$

or

$$\Gamma = -D * \text{grad}(n) + V * n \quad (2)$$

V is the non diffusive radial velocity, Lf is the diffusive flow scale length, D is the specie diffusivity (Ufile input).

The following combinations are allowed:

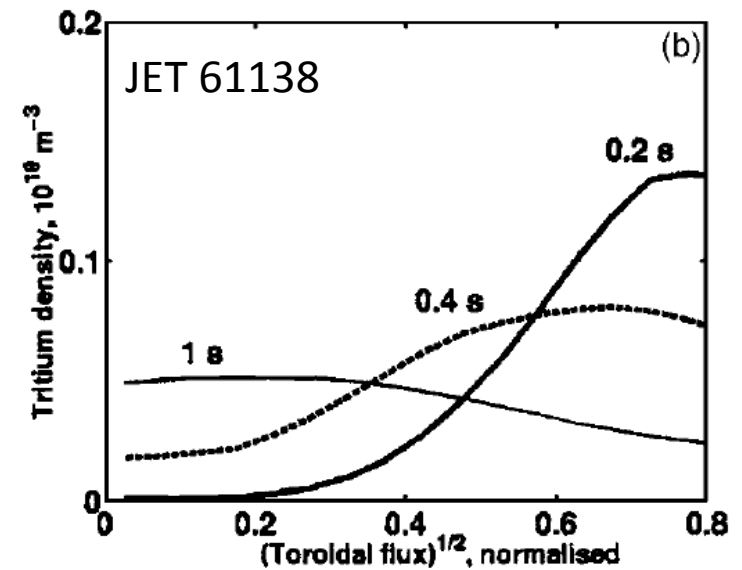
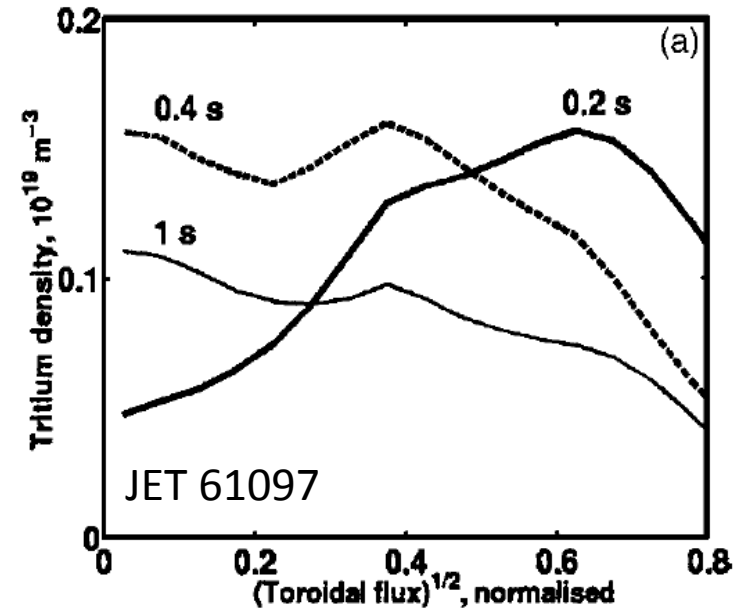
V only -- formula (2), assuming D=0.0

D only -- formula (2), assuming V=0.0

D and V -- formula (2)

Lf and V -- formula (1)

Evolution of trace tritium density after the short tritium gas puff [Voitsekhovitch et al, PoP 2005]



Summary

- TRANSP has incorporated state-of-the-art source and transport models for use as either an interpretive or predictive analysis tool
 - Driven by community input and needs
- Treatments and solvers are becoming increasingly sophisticated and comprehensive
- Provide links to other computationally intensive codes (macro-, micro-fast ion stability, RF full wave, etc.)
- Extensive use of TRANSP capabilities (presently and planned) by a large number of institutions around the world
- Running TRANSP on FusionGrid allows for timely support and quick debug turnaround by PPPL Computational Plasma Physics Group



- Continued PT_SOLVER development
 - Momentum, density predictions, benchmark with TGYRO
- Free boundary equilibrium
 - Shape control algorithms, more flexible current control
- NUBEAM and RF
 - TF ripple, coupling to RF, assess GPU for speedup, upgrade RF modules
- Regression testing for code upgrades
- Parallel architecture
 - NUBEAM/TORIC simultaneous execution, solver speedups
- Output pipeline
 - More flexible and state-of-the-art outputs and graphics
- Update tools for preparing and launching runs, assess UFILE structure
- Additional physics
 - Improved (2D) neutral transport model, physics-based AE-driven fast ion diffusion model, long term (edge-core, stability, turbulence code interfaces)